

Annexure - B

Complaint Data for STOCK BROKERS

Data for the month ending – April 30, 2024

Sr.No.	Received From	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending Complaints > 3 months	Average Resolution time^ (in days)
1	Directly from Investors	NIL	NIL	N.A	NIL	NIL	N.A
2	SEBI (SCORES)	NIL	NIL	N.A	NIL	NIL	N.A
3	Other Sources (if any)	NIL	NIL	N.A	NIL	NIL	N.A
	Grand Total	NIL	NIL	N.A	NIL	NIL	N.A

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Trend of monthly disposal of complaints

Sr.No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	February 2024	NIL	NIL	NIL	NIL
2	March 2024	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual disposal of complaints

Sr.No.	Year	Carried forward from previous month	Received	Resolved*	Pending#
1	2019 - 20	NIL	NIL	NIL	NIL
2	2020 - 21	NIL	NIL	NIL	NIL
3	2021 - 22	NIL	NIL	NIL	NIL
4	2022 - 23	NIL	NIL	NIL	NIL
5	2023 - 24	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

* Inclusive of complaints of previous years resolved in the current year

Inclusive of complaints pending as on the last day of the year