UNIFI CAPITAL
Investing With Conviction

Complaint Data for STOCK BROKERS

Data for the month ending – October 31st , 2026

S. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution on time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from investors	NIL	NIL	N.A	NIL	NIL		N.A
2	SEBI (SCORE S 2.0)	NIL	NIL	N.A	NIL	NIL		N.A
3	Stock Exchanges	NIL	NIL	N.A	NIL	NIL		N.A
4	Other Sources (if any)	NIL	NIL	N.A	NIL	NIL		N.A
5	Grand Total	NIL	NIL	N.A	NIL	NIL		N.A

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

S.No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April 2026	NIL	NIL	N.A	NIL
2	May 2026	NIL	NIL	N.A	NIL
3	June 2026	NIL	NIL	N.A	NIL
4	July 2026	NIL	NIL	N.A	NIL
5	August 2026	NIL	NIL	N.A	NI
6	September 2026	NIL	NIL	N.A	NIL
7	October 2026	NIL	NIL	N.A	NIL

* Inclusive of complaints of previous months resolved in the current month

** Inclusive of complaints pending as on the last day of the month

Trend of annual disposal of complaints

S.No	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2023-24	NIL	NIL	N.A	NIL
2	2024-25	NIL	NIL	N.A	NIL
3	2025-26	NIL	NIL	N.A	NIL
4	2026- 27	NIL	NIL	N.A	NIL
	Grand Total	NIL	NIL	N.A	NIL

* Inclusive of complaints of previous months resolved in the current month

** Inclusive of complaints pending as on the last day of the month